CARL CARTWRIGHT

B.S. Finance, Concentration in Financial Planning · Pursuing CFP® certification

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PROFESSIONAL SUMMARY

Finance professional transitioning from a distinguished 25-year career in legal support services into wealth management and financial planning. Brings a disciplined, client-first mindset forged through complex case management, regulatory compliance, and high-stakes deadline environments. Holds a Bachelor of Science in Finance and is on a defined path to the CFP® designation along with FINRA securities licensing. Committed to building trusted, long-term client relationships grounded in transparency, integrity, and sound financial guidance.

CORE COMPETENCIES

Client Relationship Management · Workflow & Operations Management · Regulatory Compliance

New Client Onboarding · Financial Analysis · Risk Awareness · Attention to Detail

EDUCATION & CREDENTIALS

Education

March 2026- Bachelor of Science in Finance at Colorado State University Global

August 2026- Amplified Planning Externship

Licensing and Certification

August 2026- eMoney Fundamentals Certification

Arizona Supreme Court, Administration of the Courts, Certified Process Server

In Progress Licensing and Certification

FINRA Securities Industry Essentials (SIE) - Exam Preparation Underway

FINRA Series 7 & Series 66 - To Follow with Firm Sponsorship

Pursuing Certified Financial Planner (CFP®) certification

Professional Memberships

September 2026- Financial Planning Association® (FPA®) Arizona Chapter

Member of the National Association of Private Process Servers

Arizona Process Server Association

PROFESSIONAL EXPERIENCE

Owner / Senior Process Server

2012 to Present- Northern Arizona Service Manager. Liddy Legal Support Services, Inc.

2001 to 2012- Owner/Field Manager. Titan Legal Support Services LLC.

- Managed full-cycle client services operation that include: handling new client intake, case management, field execution, client communication, and final reporting.
- Built and maintained long-term relationships with law firms, corporate legal departments, and individual clients, serving as the primary point of contact for sensitive legal matters.
- Oversaw workflow prioritization and daily operations, consistently meeting court-imposed deadlines in a zero-error-tolerance environment.
- Ensured strict adherence to state and federal procedural rules governing service of process, developing deep expertise in regulatory compliance.
- Managed billing, client accounts, and vendor relationships, gaining hands-on exposure to small business financial operations.